

An Anti-Corruption Forum The 10 Principles of Fighting Corruption ©®

In Cooperation with

*AUBMC Medical Committee
and*

Tomorrow's Advice s.a.r.l and Lebanon CACMs (Certified Anti-Corruption Manager)

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- Lebanon CACM



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Introduction

- What is corruption?
- Is there a corruption-free entity, community, or country? why?
- Where does corruption flourish?
- What are the ramifications of corruption?



Fighting Corruption

It is a process effected by those charged with governance, employees, citizens, and other stakeholders to provide a reasonable assurance that those entrusted with power do not abuse it for a private gain. It is

- Holistic,
- Continuous,
- Results-oriented,
- Multidisciplinary, and
- Encompasses all economic sectors (private and public)



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Principles of Fighting Corruption

1. The pervasiveness of the rule of law
2. Effective internal control
3. Effective and good governance
4. Independent and effective judiciary
5. Authority and associated accountability
6. Investment in corruption prevention
7. Quantification of corruption exposure



Principles of Fighting Corruption (Continued)

8. Certainty of punishment
9. No statute of limitations
10. Rewarding corruption fighters



1. The Pervasiveness of the Rule of Law

A. Government and citizens are bound by and abide by the law.

- 1 Laws include rules and regulations outlined in advance and stated in general terms
- 2 **Generally known and understood** by the government and citizens
- 3 The requirements imposed by the law **can not be impossible for people to meet**
- 4 The law must be **applied equally to every one according to their terms**
- 5 There must be **mechanisms or institutions that enforce the legal rules when they are breached**



1. The Pervasiveness of the Rule of Law (Continued)

B. It enhances predictability, certainty, and security

C. Rule of law vs. Democracy and human rights

D. Nepotism

E. Misconceptions

1 Example 1: “Without the rule of law, medicines do not reach health facilities due to corruption; women in rural areas remain unaware of their rights;...”

2 Example 2: “ Without the rule of law, there is not proper governance or adequate internal control.”



2. Effective Internal Control

- A. A **process** that aims to achieve reporting, operating and compliance objectives
- B. A central pillar in corruption prevention, deterrence and detection
- C. Five components and seventeen principles, and
 1. **Control environment**
 2. Risk assessment
 3. Control activities
 4. Information and communication
 5. Monitoring



2. Effective Internal Control (Continued)

- D. Sets the proper tone at the top
- E. Auditability, and
- F.

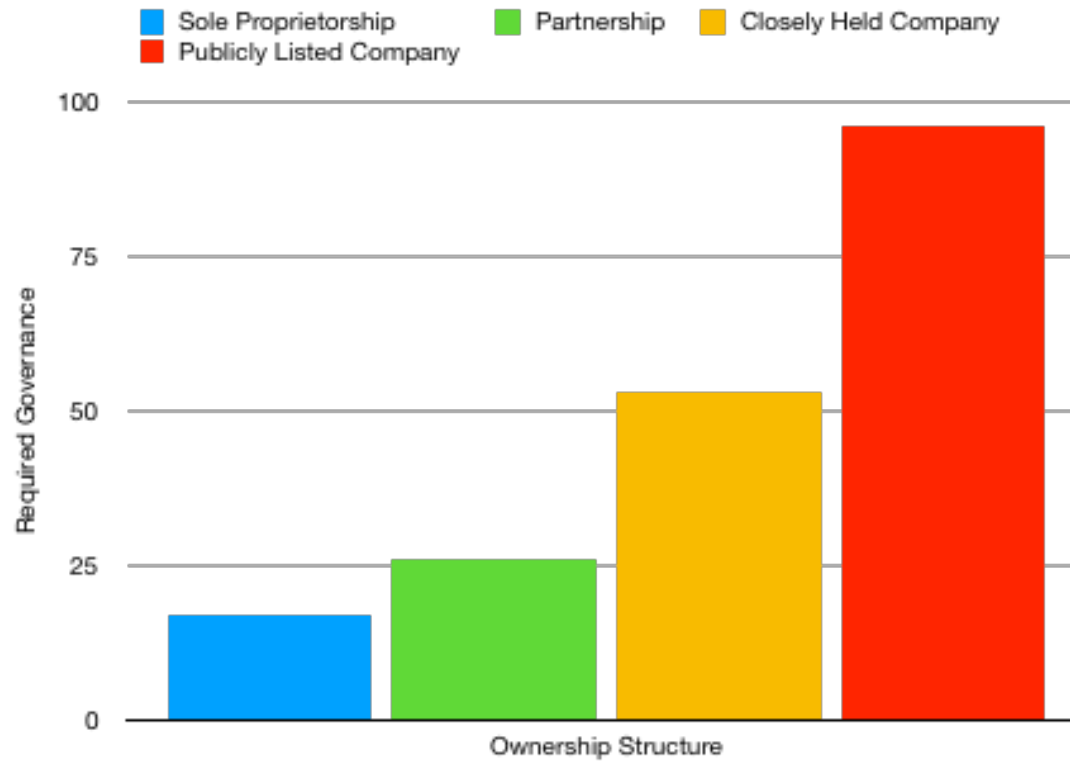


3. Effective and Good Governance

- A. Direct and control
- B. Separation between ownership and control
- C. Management is not governance
- D. Good Vs. corporate governance, and
- E.



3. Effective and Good Governance (Continued)



4. Independent and Effective Judiciary

A. Competent, independent, and impartial judiciary

- 1 Protection of human rights
- 2 Supports courts to uphold the rule of law
- 3 Public confidence in
 - i. The judicial system
 - ii. The moral authority and integrity of the judiciary



4. Independent and Effective Judiciary (Continued)

- B. Pre-requisite to the rule of law and a fundamental guarantee of fair trial.**
- C. In appearance and in fact.** A judge shall be free of inappropriate connections with, and influence by, the executive and legislative branches of government. He must also appear to a reasonable observer to be free from therefrom.
- D.** Proper administration of judiciary (with effective internal control)
- E.** Anti-corruption education for all the judiciary stakeholders, especially judges, and
- F.**



5. Authority and Associated Accountability

- A. Contractual relationship
- B. Authority and power
- C. A direct relationship between authority, accountability, and severity of punishment
- D. Business judgment rule
- E. Business and professional ethics, and
- F.



6. Investment in Corruption Prevention

- A. Anti-corruption learning (training)
- B. Anti-corruption strategies and corruption prevention policies
- C. Whistleblowing policies
- D. Certified Anti-Corruption Manager (CACM)
- E. Organizations Anti-Corruption Certification Program, and
- F.



7. Quantification of Corruption Exposure

- A. Management and measurement
- B. Effectiveness of the fight against corruption
- C. Quantitative and qualitative
- D. Results – based process, and
- E.



8. Certainty of Punishment

- A. **Deterrence** and certainty of punishment
- B. The rule of law and independence of the judiciary
- C. No exceptions, and
- D.



9. No Statute of Limitations

- A. **Deterrence, Deterrence, Deterrence,**
- B. Prevention is better than cure,
- C. Why?, and
- D.



10. Rewarding Corruption Fighters

- A. Protect and reward
- B. National recognition
- C. Encourage others to speak up, and
- D.



So, What's Next?

- A. The National Anti-Corruption Strategy Vs. The Principles of Fighting Corruption
- B. Institutionalization of the fight against corruption
- C. Public engagement, citizenry, and patriotism
- D. Integrity and The Principles of Fighting Corruption



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Q and A

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